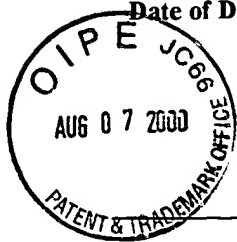


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Date of Deposit: August 7, 2000



Attorney Docket No. 19904-009

(BREESE-9)

#6 8/11/00  
Small Entity  
Statement  
RECEIVED

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

INVENTORS: Calas *et al.*

ASSIGNEE: Syntem

UNITED STATES  
NATIONAL PHASE OF  
PCT INTERNATIONAL  
APPLICATION NO:

PCT/FR98/01757

INTERNATIONAL  
FILING DATE:

August 6, 1997

FOR:

LINEAR PEPTIDES DERIVED FROM ANTIBIOTIC PEPTIDES,  
PREPARATION AND USE FOR VECTORING ACTIVE  
SUBSTANCES

AUG 11 2000

RECEIVED CENTER 1600/2930

**Box PCT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Nîmes FRANCE

**STATEMENT CLAIMING SMALL ENTITY STATUS  
(37 CFR 1.9(f) and 1.27(c) – SMALL BUSINESS CONCERN)**

I hereby state that I am an official of the small business concern empowered to act on behalf of the concern identified below:

SYNTEM  
Parc Scientifique Georges Besse  
30000 Nîmes  
FRANCE

I hereby state that the above identified small business concern qualifies as a small business concern, as defined in 13 C.F.R. § 121, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office under Sections 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third-party or parties controls or has the power to control both.

I hereby state that rights under contract or law have been conveyed to, and remain with, the small business concern identified above with regard to the invention described in the application identified above.

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the

INVENTORS:  
UNITED STATES  
NATIONAL PHASE OF  
PCT INTERNATIONAL  
APPLICATION NO:

Ca  et al.

PCT/FR98/01757



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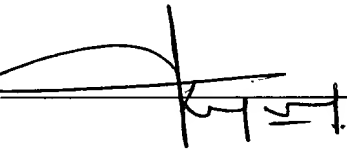
earliest of the issue fee or any maintenance fee due after the date on which status as a small business entity is no longer appropriate. 37 C.F.R. § 1.28(b).

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further, that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

Name of person signing: Dr. Michel KACZOREK

Title in organization of person signing: President and CEO

Address of person signing: SYNT:EM  
Parc Scientifique Georges Besse  
30000 Nîmes  
FRANCE

Signature: 

Date: March 22, 2000

TRADOCS:1295945.1(RRYH01!.DOC)  
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Date of Deposit: August 7, 2000



Attorney Docket No. 19904-009  
(BREESE-9)

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

APPLICANTS: Calas *et al*  
SERIAL NUMBER: 09/485,571 EXAMINER: Not Yet Assigned  
FILING DATE: June 9, 2000 ART UNIT: 1643  
FOR: LINEAR PEPTIDES DERIVED FROM ANTIBIOTIC PEPTIDES, PREPARATION AND  
USE FOR VECTORIZING ACTIVE SUBSTANCES

August 7, 2000  
Boston, Massachusetts

Assistant Commissioner for Patents  
Washington, D.C. 20231

**TRANSMITTAL LETTER**

Transmitted herewith for filing in the present application are the following documents:

- ☒ Small Entity Statement, and
- ☒ Return Postcard.

No fee is believed to be due. However, if any fees are to be assessed, the Commissioner is hereby authorized to charge the balance due to the undersigned's account, Deposit Account No. 50-0311, Reference No. 19904-009 (BREESE-9). A duplicate copy of this transmittal letter is enclosed.

If the enclosed papers are considered incomplete, the Mail Room and/or the Application Branch is respectfully requested to contact the undersigned at 617/542-6000, Boston, Massachusetts.

Respectfully submitted,

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